New Faculty Orientation
September 29, 2014

Wendi Delmendo
Chief Compliance Officer
University Regulatory Environment

- Universities are subject to an alphabet soup of regulations
- Impacts nearly all operations at UC Davis
- Direct effect on faculty teaching and research
Teaching

- Working with students with disabilities
  - SDC, Jeanne Wilson, jxwilson@ucdavis.edu, 2-3184

- Reporting sexual harassment and discrimination
  - HDAPP, Lisa Brodkey, labrodkey@ucdavis.edu, 2-9255
  - See sahelp.ucdavis.edu; reporthateandbias.ucdavis.edu

- Working with pregnant students
  - Wendi Delmendo, wjdelmendo@ucdavis.edu, 2-9466

- Maintaining student records/information
  - SJA, Don Dudley, djdudley@ucdavis.edu, 2-1128

- Dealing with violations of the Student Code of Conduct
  - SJA, Don Dudley, djdudley@ucdavis.edu, 2-1128
General Research Issues

- Financial disclosures
  - Research Compliance, Craig Allison, ccallison@ucdavis.edu, 4-7754

- Export control
  - Research Compliance, Craig Allison, ccallison@ucdavis.edu, 4-7754

- Effort reporting
  - Extramural Acct’g, James Ringo, jaringo@ucdavis.edu, 2-8140

- Lab safety
  - Safety Services, Debbie Decker, researchsafety@ucdavis.edu
    4-7964

- Responsible Conduct of Research training
  - Research Compliance, Craig Allison, ccallison@ucdavis.edu, 4-7754
Specific Research Issues

• Human subjects
  • IRB, Dan Redline, dredline@ucdavis.edu, (916) 703-9151

• Human anatomical specimens
  • Research Compliance, Craig Allison, ccallison@ucdavis.edu, 4-7754

• Stem cell
  • Research Compliance, Craig Allison, ccallison@ucdavis.edu, 4-7754

• Boating/Diving
  • Research Compliance, Craig Allison, ccallison@ucdavis.edu, 4-7754
Specific Research Issues

- **Animal Subjects**
  - Safety Services, iacucstaff@ucdavis.edu, 2-2364

- **Biosafety**
  - Safety Services, Philip Barruel, biosafety@ucdavis.edu, 2-1777

- **Controlled Substances**
  - Safety Services, Sue Littlefield, researchsafety@ucdavis.edu, 2-9147

- **Select Agents**
  - Safety Services, Philip Barruel, biosafety@ucdavis.edu, 2-1777

- **Radioactive materials**
  - Safety Services, Claire Denham, researchsafety@ucdavis.edu, 2-3520

- **Laser Safety**
  - Safety Services, Felipe Penilla, researchsafety@ucdavis.edu, 2-3579
Whistleblower Policy

• UC policy based on state law that governs reporting and investigation of suspected improper governmental activities

• IGA = any activity by an employee that:
  • Violates the law
  • Is “economically wasteful, or involves gross misconduct, incompetency, or inefficiency”
  • Generally, misuse of University resources

• Options for filing a report
  • Campus LDO, Wendi Delmendo, wjdelmendo@ucdavis.edu, 2-9466
  • Other University official
  • On-line through Ethics Point
  • UC Hotline: (800) 403-4744
Mandatory Training

- Sexual Harassment Prevention
  - Within first 90 days of hire
  - Every 2 years based on anniversary date
- General Ethics
  - Salary support all from general funds
  - Every 2 years
- Conflict of Interest for Researchers
  - Any salary support from external funds
  - Every 2 years
- Specific topics based on research interests
Helpful Web Resources

- University policy: [http://manuals.ucdavis.edu](http://manuals.ucdavis.edu)
- Office of Research: [http://research.ucdavis.edu](http://research.ucdavis.edu)
- Safety Services: [http://safetyservices.ucdavis.edu](http://safetyservices.ucdavis.edu)
- UC Davis Ethics program: [http://ethics.ucdavis.edu](http://ethics.ucdavis.edu)